

## BUSINESS ASSOCIATE AGREEMENT

This Business Associate Agreement (“BAA”) governs Allscripts (and its agents’ and subcontractors’) use and disclosure of Protected Health Information (“PHI”) and implementation of safeguards for the security of Electronic PHI (“EPHI”) disclosed by \_\_\_\_\_ (“Client”) to Allscripts (collectively, the “Covered Conduct”), and enables both parties to establish their respective compliance with the requirements of the Health Insurance Portability and Accountability Act of 1996, and its regulations, as amended by the Health Information Technology for Economic and Clinical Health Act of the American Recovery and Reinvestment Act of 2009, Pub. L. No. 111-5, Title XIII (2009) (“HITECH”) and its implementing regulations (collectively, “HIPAA”). Effective September 23, 2013 (“Compliance Date”), HIPAA shall include the Omnibus Rule published on January 25, 2013.

### **1. Permitted Uses and Disclosures of Allscripts.**

- 1.1 *General Uses and Disclosures.* Allscripts agrees not to Use or Disclose PHI except (a) as permitted or required by this BAA or the Underlying Agreement(s) or (b) as permitted or required by law. Except for the purposes specified under Section 1.5 of this BAA, Allscripts may not Use or Disclose PHI in a manner that would violate HIPAA if done by Client.
- 1.2 *Performance of Services.* Allscripts may Use or Disclose PHI to (a) perform services under the Underlying Agreement(s) or (b) perform its obligations under this BAA.
- 1.3 *Performance of Client’s Obligations.* To the extent Allscripts is required by the Underlying Agreement(s) to carry out a Client’s obligation under HIPAA, Allscripts shall comply with the HIPAA requirements that apply to Client in the performance of such obligations.
- 1.4 *Minimum Necessary.* Allscripts shall Use, Disclose, or request only the minimum necessary amount of PHI to accomplish the intended purpose of such Use, Disclosure or request.
- 1.5 *Proper Management and Administration.* Allscripts may Use or Disclose PHI for the proper management and administration of Allscripts or to carry out the legal responsibilities of Allscripts in compliance with 45 C.F.R. § 164.504(e)(4)(ii).
- 1.6 *Other Permitted Uses.* Unless otherwise limited herein, Allscripts may: (a) perform data aggregation for the health care operations of Client; (b) as requested by Client or authorized governmental agent, Use, analyze, and Disclose PHI in its possession for the public health activities and purposes set forth at 45 C.F.R. § 164.512(b); and (c) de-identify PHI in accordance with 45 C.F.R. § 164.514(b) and use or disclose (and permit others to use or disclose) de-identified information on a perpetual, unrestricted basis.

### **2. Duties and Responsibilities of Allscripts**

- 2.1 *Safeguards.* Allscripts shall use appropriate safeguards to prevent the Use or Disclosure of PHI except as provided by this BAA, including appropriate Administrative, Physical, and Technical safeguards to protect the confidentiality, integrity and availability of any EPHI in compliance with the Security Rule (as of the Compliance Date).
- 2.2 *Reporting.* Allscripts shall report to Client without unreasonable delay (i) any improper or unauthorized Use or Disclosure of PHI or (ii) any Security Incident, in each case that compromises Client’s PHI or EPHI of which Allscripts becomes aware.
- 2.3 *Mitigation.* Allscripts shall mitigate, to the extent practicable, any harmful effect that is known to Allscripts of a Use or Disclosure of PHI by Allscripts in violation of the requirements of this BAA.
- 2.4 *Agents and Subcontractors.* Allscripts shall ensure that any agent or subcontractor that accesses, creates, receives, maintains or transmits PHI on behalf of Allscripts, agrees to, in writing, the same safeguards, restrictions and conditions that apply to Allscripts with respect to such PHI.
- 2.5 *Access and Availability of PHI for Amendment.* If Allscripts is required by the Underlying Agreement(s) to maintain Client’s Designated Record Set, upon receipt of a reasonable advance written request from Client, Allscripts shall provide access to PHI and EPHI in a Designated Record Set to Client and incorporate any amendments of PHI and EPHI in a Designated Record Set that Client agrees to in accordance with 45 C.F.R. §§ 164.524 and 164.526, respectively.

- 2.6 *Audit and Inspection.* Allscripts shall make internal practices, books, and records relating to the Use and Disclosure of PHI available to the Secretary in a time and manner reasonably designated by the Secretary during Allscripts normal business hours, for purposes of the Secretary determining Client's compliance with HIPAA.
- 2.7 *Accounting of Disclosures.* Allscripts shall document any Disclosures of PHI by Allscripts and information related to such Disclosures, as would be required for Client to respond to a request by an Individual for an accounting of disclosures of PHI in accordance with 45 C.F.R. § 164.528. Allscripts agrees to provide to Client information collected in accordance with this Section within 15 days of receipt of a reasonable written request by Client in connection with an accounting request from an Individual.
- 2.8 *Breach Notification.* Except as provided in 45 C.F.R. § 164.412, Allscripts will give Client notice of any Breach of Unsecured PHI without unreasonable delay, but in no case later than 15 business days after discovery of the Breach. The notice will include, to the extent possible, known or available, the information required by 45 C.F.R. § 164.410.
3. **Duties and Responsibilities of Client.** Client shall not request Allscripts to use or disclose PHI in any manner that would not be permissible under HIPAA. Client represents and warrants that it has obtained all consents, authorizations, or other permissions necessary under HIPAA. Client agrees to take all reasonable and appropriate steps to ensure compliance with its role as a Covered Entity, including security measures such as firewalls, patch installations, and encryption.
4. **Indemnification.**
- 4.1 *Indemnification.* Subject to the Indemnification Procedures in Section 4.2 below, each party (as "Indemnifying Party") agrees to indemnify and hold harmless the other ("Indemnified Party") against any and all (a) incurred damages, liabilities, settlements judgments, costs and expenses resulting from corresponding third party claims and lawsuits that are awarded or adjudged to such third party by a court or arbitration panel or approved in writing by the Indemnifying Party, and (b) reasonable and necessary out-of-pocket expenses in connection with notifications required by law, in each case, to the extent arising from the unauthorized use or disclosure of PHI to the extent attributable to either a material breach of this BAA or to the negligent acts or wrongful omissions by Indemnifying Party.
- 4.2 *Indemnification Procedures.* Indemnified Party's right to defense and/or indemnification hereunder is conditioned upon the following: prompt notice to Indemnifying Party and demand for payment of any claim for which indemnity and/or defense is sought; control of the selection of counsel, investigation, preparation, defense and settlement thereof by Indemnifying Party; and reasonable cooperation by the Indemnified Party, at Indemnifying Party's request and expense, in the defense of the claim. Indemnified Party shall have the right to participate in the defense of a claim by Indemnifying Party with counsel of the Indemnified Party's choice at the Indemnified Party's expense.
- 4.3 *Limitation of Liability.* An Indemnified Party's sole and exclusive remedy and Indemnifying Party's sole liability for any breach of this BAA or negligent acts or wrongful omissions by Indemnifying Party are the remedies set forth in this Section. Excluding Indemnifying Party's indemnification obligation in Section 4.1, in no event shall either party be liable to the other under any contract, negligence, strict liability or other legal or equitable theory for any special, incidental, consequential, exemplary, punitive, or other indirect damages of any character, including, but not limited to, loss of revenue or profits or lost business, even if the party has been advised of the possibility of such damages.
5. **Termination**
- 5.1 *Termination for Cause.* Upon either Party's knowledge of a material breach of this BAA by the other Party, the non-breaching Party (i) may provide written notice of the existence of such material breach and, if it desires to preserve the right to terminate this BAA for such material breach, specify in such breach notice a reasonable time frame of at least 60 days for the breaching Party to cure the breach, (ii) if such time frame is so specified, may immediately terminate this BAA upon further written notice if the breaching Party does not cure the breach or end the violation within such time frame; or (iii) immediately terminate this BAA upon written notice if the breaching Party has breached a material term of this BAA and the Parties agree in writing that a cure is not possible.
- 5.2 *Effect of Termination.* Upon termination of this BAA, for any reason, Allscripts shall return or destroy all PHI received from or created or received on behalf of Client, or otherwise in Allscripts (or its subcontractors' or agents') possession, if feasible. Allscripts and its subcontractors and agents shall retain no copies of the PHI. In the event that Allscripts determines that returning or destroying the PHI is infeasible, Allscripts shall extend the protections of this BAA to and limit any further Uses and Disclosures of such PHI to only those purposes that make the return or destruction infeasible.

**6. Miscellaneous**

- 6.1 *Regulatory References.* A reference in this BAA to a section in HIPAA means the section as in effect or as amended, and for which compliance is required.
- 6.2 *Amendment.* This BAA may only be modified, or any rights under it waived, by a written agreement executed by both parties. The parties shall negotiate in good faith as is reasonably necessary to amend this BAA from time to time so that each party agrees to comply with the requirements of HIPAA and any current or future regulations promulgated thereunder that are binding on such party under such regulations.
- 6.3 *Interpretation.* Any ambiguity in this BAA shall be resolved in favor of a meaning that permits the parties to comply with HIPAA.
- 6.4 *No Third Party Beneficiaries.* Nothing express or implied in this BAA is intended or shall be deemed to confer upon any person other than Client and Allscripts, and their respective successors and assigns, any rights, obligations, remedies or liabilities.
- 6.5 *Nature of Agreement.* Nothing in this BAA shall be construed to create (i) a partnership, joint venture or other joint business relationship between the Parties or any of their affiliates, or (ii) a relationship of employer and employee between the Parties. Allscripts is an independent contractor, not an agent, to Client and nothing contained in this BAA shall be intended to expand the scope or nature of the relationship.
- 6.6 *Entire Agreement.* This BAA, together with the Underlying Agreement(s), sets forth the entire agreement and understanding between the Parties relating to the subject matter hereof and supersedes all other discussions, representations, agreements, and understandings of every kind or nature, whether oral or written, with respect to such matters, including, but not limited to other business associate agreements or agreements related to patient data and the access, use, privacy, security and confidentiality of patient data. Neither Party will be bound by any representation, warranty, covenant, term or condition related to such subject matter other than as expressly set forth herein and in the Underlying Agreement(s). Notwithstanding anything else, (a) this BAA sets forth all of Allscripts' obligations for the Covered Conduct and no Allscripts obligations set forth elsewhere in the Underlying Agreement(s) apply to any Covered Conduct, and (b) in the event of any conflict between the terms of this BAA and the terms of any Underlying Agreement(s) or any other discussions, representations, agreements, and understandings between the Parties, the terms of this BAA shall control. Subject to the foregoing, this BAA is hereby made a part of and incorporated into each of the Underlying Agreement(s) and is subject to the applicable Underlying Agreement(s) provisions that are consistent herewith.

IN WITNESS WHEREOF, the parties hereto have executed this BAA as of the date executed by or on behalf of an authorized representative of Client below.

ALLSCRIPTS HEALTHCARE, LLC

\_\_\_\_\_  
(Printed Client Name)

Client #: \_\_\_\_\_

  
 By: \_\_\_\_\_  
 Authorized Representative

By: \_\_\_\_\_  
 Authorized Representative

Name: Robyn Eckerling

Printed Name: \_\_\_\_\_

Title: Chief Privacy Counsel

Title: \_\_\_\_\_

Street Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Phone No: \_\_\_\_\_

Date: \_\_\_\_\_